State of Alabama
Personnel Department
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www.personnel.alabama.gov

Current Announcement

SECURITIES COMMISSION DEPUTY DIRECTOR – 11288

Salary: $92,992.80 - $141,784.80
Announcement Date: April 18, 2012
Application Deadline: May 9, 2012

JOB INFORMATION

The Securities Commission Deputy Director is a permanent full-time position with the Alabama Securities Commission. Positions are located in Montgomery. This is highly responsible specialized administrative and law enforcement work relating to the sale of securities; registration of securities dealers; enforcement of anti-fraud, registration, and merit regulations of the Alabama securities laws; and conducting of trial prosecutions under the Alabama securities laws.

MINIMUM REQUIREMENTS

- Five years of management or supervisory level experience in the securities field with a regulatory agency or financial institution such as a bank, insurance company, investment company, or similar firm

OR

- Five years of experience managing, directing, and prosecuting complex financial criminal and/or civil cases

ADDITIONAL REQUIREMENTS

- Member in good standing with the Alabama Bar
- Resident of the State of Alabama
- At least 30 years of age by the closing date indicated above

EXAMINATION

- **Open-Competitive** to all applicants
- An evaluation of **Training and Experience** as shown on application

HOW TO APPLY

- Complete an Application for Examination Form available at ***www.personnel.alabama.gov***, the above address, or any Alabama Career Center Office.
- Apply by mail or by fax.

THE STATE OF ALABAMA IS AN EQUAL OPPORTUNITY EMPLOYER

*Please refer to the back of this announcement for complete information on State Personnel's policy for accepting post-secondary and advanced degrees.

Except for pretest information provided by State Personnel to all applicants, you should not directly or indirectly obtain information about examinations. If you do, the State Personnel Director may do several things. One, you may not be given an examination. Two, you may be disqualified after an examination. Three, your name may be removed from a register. Or four, your name may not be certified from the register. (Rules of the State Personnel Board, Chapter 670-x-9). According to the Code of Alabama, 36-26-47, a willful violation of exam security is a misdemeanor. Any person who is convicted of this type of misdemeanor will not get a state job. If they are officers or employees of the state, they will be required to forfeit their office or position for five years.

If you know of anyone who has violated this policy, you should contact the Examination Manager at the State Personnel Department.

Applicants hired by the State of Alabama on or after January 1, 2012 will be subject to the E-Verify process pursuant to Act No. 2011-535.

State of Alabama Personnel Department Policy on Accepting College Coursework, Post-Secondary and Advanced Degrees

1. Specific college coursework required for a job, as well as Bachelor's, graduate, post graduate, and doctoral degrees will be accepted from the schools accredited by any of the six regional accreditation associations in the United States. These associations are listed below.

- Southern Association of Colleges and Schools (SACS)
- Middle States Association of Colleges and Schools (MSA)
- Northwest Commission on Colleges and Universities (NWCCU)
- North Central Association of Colleges and Schools – The Higher Learning Commission (NCA-HLC)
- New England Association of Schools and Colleges – Commission on Institutions of Higher Education (NEASC-CIHE)
- Western Association of Schools and Colleges – Accrediting Commission for Senior Colleges and Universities (WASC-ACSCU)

2. Coursework or degrees from schools that have not been accredited by a regional accreditation association will be accepted if a regionally accredited school considers the coursework or degree to be an acceptable prerequisite for admission to an advanced degree program. For example, if a regionally accredited school accepts an applicant's bachelor's degree for admittance into a graduate degree program, State Personnel will accept the degree. In the case of required college coursework (but no degree requirement), State Personnel will accept the college coursework if a regionally accredited school accepts the coursework towards a post-secondary degree (e.g., a bachelor's degree). ***This must be documented by a letter of acceptance from the regionally accredited school.*** State Personnel will review such requests on a case-by-case basis.

Note: This policy is subject to change. Certain state agencies may have additional requirements.