

Announcement Date: March 5, 2008

State of Alabama
Personnel Department
64 North Union Street
P. O. Box 304100
Montgomery, AL 36130-4100
(334) 242-3389
Internet: www.personnel.state.al.us

SPECIAL AGENT – 11280
SECURITIES OPTION – 406
\$41,872.80 - \$73,766.40

Department: Alabama Securities Commission
Location: Montgomery

TYPE OF EXAMINATION

An **open-competitive** register will be established for all applicants who met the minimum qualifications listed below. Qualified applicants will be required to participate in a work sample exercise. The evaluation of this exercise will comprise 100% of the final grade. Information relating to this exercise will be mailed at later date.

QUALIFICATIONS NEEDED TO APPLY

You must have **all** of the following to qualify:

- Bachelor's degree from an accredited* college or university with a major in business or public administration, criminal justice, or related field.
- Two years of experience conducting economic criminal investigations with a law enforcement, securities, or other financial regulatory agency.

NOTE

Experience in conducting economic criminal investigations with a law enforcement, securities, or other financial regulatory agency will substitute for the required Bachelor's degree on a year-for-year basis.

SPECIAL REQUIREMENTS

- Incumbents in this class must meet the requirement of the Alabama Peace Officer's Standards and Training Act.
- Extensive travel within and outside the State of Alabama is required.

KIND OF WORK

This is highly responsible work in conducting investigations of suspected violations and enforcing laws, rules, and regulations of the Alabama Securities Commission. Employees in this class conduct highly confidential investigations of criminal activities such as securities fraud and other white-collar and economic crimes. Work involves preparation of files and evidence for criminal prosecution of, or civil action against, violators and detailed background investigations of parties applying for registration. Work also includes investigations of securities dealers, sales representatives, investment advisors, and investment advisor representatives.

HOW TO APPLY

Use an Application for Examination form. You can get the form at this office or at an Alabama Career Center office. It can also be downloaded from our web site. You must send your application to the State Personnel Department. It must be received by the close of business on **April 9, 2008**. Applications received after this date **WILL NOT BE ACCEPTED**. The names of those who apply late will be put on a mailing list to be notified when this job is again open for applications. The State Personnel Department is not responsible for late receipt of applications due to mail services or fax malfunctions. Photocopied applications are accepted. Facsimile applications are also accepted. Our fax number is (334) 242-1110.

Individuals currently on the register MUST reapply to remain eligible for employment.
THE STATE OF ALABAMA IS AN EQUAL OPPORTUNITY EMPLOYER

*Please refer to the back of this announcement or the State Personnel Department web site for complete information on our policy for accepting post-secondary and advanced degrees.

Except for pretest information provided by State Personnel to all applicants, you should not directly or indirectly obtain information about examinations. If you do, the State Personnel Director may do several things. One, you may not be given an examination. Two, you may be disqualified after an examination. Three, your name may be removed from a register. Or four, your name may not be certified from the register. (Rules of the State Personnel Board, Chapter 670-x-9). According to the Code of Alabama, 36-26-47, a willful violation of exam security is a misdemeanor. Any person who is convicted of this type of misdemeanor will not get a state job. If they are officers or employees of the state, they will be required to forfeit their office or position for five years.

If you know of anyone who has violated this policy, you should contact the Examination Manager at the State Personnel Department.

State of Alabama Personnel Department

Policy on Accepting College Coursework, Post-Secondary and Advanced Degrees

1. Specific college coursework required for a job, as well as Bachelor's, graduate, post graduate, and doctoral degrees will be accepted from the schools accredited by any of the six regional accreditation associations in the United States. These associations are listed below.

- Southern Association of Colleges and Schools (SACS)
- Middle States Association of Colleges and Schools (MSA)
- Northwest Commission on Colleges and Universities (NWCCU)
- North Central Association of Colleges and Schools – The Higher Learning Commission (NCA-HLC)
- New England Association of Schools and Colleges – Commission on Institutions of Higher Education (NEASC-CIHE)
- Western Association of Schools and Colleges – Accrediting Commission for Senior Colleges and Universities (WASC-ACSCU)

2. Coursework or degrees from schools that have not been accredited by a regional accreditation association will be accepted if a regionally accredited school considers the coursework or degree to be an acceptable prerequisite for admission to an advanced degree program. For example, if a regionally accredited school accepts an applicant's bachelor's degree for admittance into a graduate degree program, State Personnel will accept the degree. In the case of required college coursework (but no degree requirement), State Personnel will accept the college coursework if a regionally accredited school accepts the coursework towards a post-secondary degree (e.g., a bachelor's degree). **This must be documented by a letter of acceptance from the regionally accredited school.** State Personnel will review such requests on a case-by-case basis.

Note: This policy is subject to change. Certain state agencies may have additional requirements.